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LIST OF PRIOR ART CITED BY APPLICANT

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Sheet 1 of 15

Complete if Known	
Application Number	~~Div. of 09/713,030~~ 10/678,135
Filing Date	
First Named Inventor	Tatu Meittinen
Group Art Unit	
Examiner Name	
Attorney Docket Number	019075-00058

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	U.S. Patent Documents Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		3,004,043		Stern	10/10/61	
		3,600,188		Mattson, et al.	08/17/71	
		3,954,978		Mattson, et al.	05/04/76	
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		4,034,083		Mattson	07/05/77	
		5,244,887		Straub	09/14/93	
		5,270,041		Eugster, et al.	12/14/93	
		5,502,045		Miettinen, et al.	03/26/96	
		2,422,317		Stock	06/17/47	
		3,751,559		Erickson	08/07/73	

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Examiner Initials*	Cite No.[1]	Foreign Patent Document Office[3]	Number[4]	Kind Code[5] (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*
			1,405,348		Great Britain	09/73		
			0195311		EPC	09/86		
			0289538		EPC	11/88		
			2,035,089		Germany	01/71		
			2,248,921		Germany	04/74		

Examiner Signature		Date Considered	8/28

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[1]Unique citation designation number. [2]See attached Kinds of U.S. Patent Documents. [3]Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4]For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5]Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1 if possible. [6]Applicant is to place a check mark here if English language Translation is attached.

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LIST OF PRIOR ART CITED BY APPLICANT (use as many sheets as necessary)		Application Number	~~Div. of 08/713,030~~ 10/078,135
		Filing Date	
		First Named Inventor	Tatu Miettinen
		Group Art Unit	
		Examiner Name	
Sheet 2 of 15		Attorney Docket Number	019075-00058

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, where published, source,	T[2]
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LIST OF PRIOR ART CITED BY APPLICANT

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Complete if Known	
Application Number	~~div. of 08/719,030~~ 10/407,135
Filing Date	
First Named Inventor	Tatu Miettinen
Group Art Unit	
Examiner Name	
Attorney Docket Number	019075-00058

Sheet 3 of 15

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, country, where published, source.	T[2]
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Examiner Signature		Date Considered	9/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Sheet 4 of 15

Complete if Known	
Application Number	~~div. of [redacted]~~ 10,675,138
Filing Date	
First Named Inventor	Tatu Miettinen
Group Art Unit	
Examiner Name	
Attorney Docket Number	019075-00058

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, country, where published, source.	T[2]
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Sheet 5 of 15

	Complete if Known
Application Number	~~Div of 09/713,030~~ 10/127,135
Filing Date	
First Named Inventor	Tatu Miettinen
Group Art Unit	
Examiner Name	
Attorney Docket Number	019075-00058

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, country where published, source.	T[2]
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Sheet 6 of 15

Complete if Known	
Application Number	~~Div. of 08/713,030~~ 10/678,135
Filing Date	
First Named Inventor	Tatu Miettinen
Group Art Unit	
Examiner Name	
Attorney Docket Number	~~019075-00050~~

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, country, where published, source.	T[2]
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Examiner Signature		Date Considered	3/08

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LIST OF PRIOR ART CITED BY APPLICANT (use as many sheets as necessary)		Application Number	~~Div. of 09/713,030~~ 10/678,135
		Filing Date	
		First Named Inventor	Iatu Miettinen
		Group Art Unit	
		Examiner Name	
Sheet 7 of 15		Attorney Docket Number	019075-00058

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

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Sheet 8 of 15

Complete if Known	
Application Number	~~Pto/ 09/713,090~~ 10/678,135
Filing Date	
First Named Inventor	Tatu Miettinen
Group Art Unit	
Examiner Name	
Attorney Docket Number	~~19975-00058~~

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

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		European Journal of Clinical Investigation, Vol. 23, pp. 827-831, T. Heinemann, et al. "Comparison of Intestinal Absorption of Cholesterol with Different Plant Sterols in Man"	
		J. Nutr. Sci. Vitaminol, Vol. 27, pp. 243-252, (1981), I. Ikeda et al. "Antihypercholesterolemic Activity of β-Sitostanol in Rabbits"	

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 919075-00058	SERIAL NO. 10/678,135 Div of 09/713,930
LIST OF REFERENCES CITED BY APPLICANT *(Use several sheets if necessary)*		APPLICANT MIETTENEN et al.	
		FILING DATE	GROUP

OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	AM	POLLAK, O.J., "Effect of Plant Sterols on Serum Lipids and Atherosclerosis", Pharmac. Ther. Vol. 31, pp. 177 to 208, 1985.
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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 019075-00058	SERIAL NO. Div. of ~~09/713,650~~ 10/078,735
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT MIETTINEN, et al.	
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	BF	Main Request - May 4, 1999 filed in the European Patent Office in European Patent No. 0 594 612 (granted on Application No. 91908435.0)
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	BL	Norm NEN 3428
	BM	

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 019075-00058	SERIAL NO. Div of ~~09/713,030~~ 10/078,735
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT MIETTINEN, et al.	
(Use several sheets if necessary)		FILING DATE Herewith	GROUP unknown

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	AB	4,160,850	07/1979	HALLSTROM et al.			
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	AE	WO 98/06405	02/1998	PCT					
	AF	WO 96/38047	12/1996	PCT					
	AG	567,202	12/1958	CANADA					
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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 019075-00058	SERIAL NO. 10/827,135 ~~Div of 09/743,030~~
LIST OF REFERENCES CITED BY APPLICANT *(Use several sheets if necessary)*		APPLICANT MIETTINEN et al.	
		FILING DATE Herewith	GROUP unknown

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		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES	NO	PART.
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	AH	0 203 277 A2	12/1986	EPO					

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 019075-00058	SERIAL NO. 10/648,185 ~~Div. of 08/713,030~~
LIST OF REFERENCES CITED BY APPLICANT *(Use several sheets if necessary)*		APPLICANT MIETTINEN et al.	
		FILING DATE Herewith	GROUP

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EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
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	AD	EP 0 911 385 A1		EP					
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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 019075-00058	SERIAL NO. 10/678,135 ~~Div of 09/612,108~~
LIST OF REFERENCES CITED BY APPLICANT *(Use several sheets if necessary)*		APPLICANT MIETTINEN et al.	
		FILING DATE October 14, 2002	GROUP

OTHER REFERENCES *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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LIST OF REFERENCES CITED BY APPLICANT *(Use several sheets if necessary)*		APPLICANT Miettinen et al.	
		FILING DATE Herewith	GROUP

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PATENT AND TRADEMARK OFFICE

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019075-00062	10/678,135
APPLICANT: MIETTINEN, et al.	
FILING DATE: October 6, 2003	GROUP: 1614

LIST OF REFERENCES CITED BY APPLICANT

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EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
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